



**Your Assurance  
Against Corruption**

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# OUR COMPANY

Company overview

We offer an innovative technological platform design to protect companies, their board members and staff against corruption through processes that are clearly defined and correctly implemented.

In addition, the evidence we collect is backed up in Blockchain, whereby gaps are detected in real-time, so as to ensure that processes, such as hiring staff, suppliers, or the company's cash outflows, are being done correctly.

Having a regional presence gives the parent company visibility on how the business is being conducted in each of its subsidiaries, in addition to having the ability to work with local companies.



Make us your trusted partner for compliance and best practices

# GLOBAL COMPLIANCE

Unfortunately, procedures are NOT always adapted to deal with local realities.

**Goldman Sachs Charged in Foreign Bribery Case and Agrees to Pay Over \$2.9 Billion.**



**Glencore Settles Corruption and Bribery Charges in U.S., U.K., Brazil: will pay at least \$1.2 billion .**



**American fashion group Ralph Lauren has agreed to pay \$1.6 million to US authorities to settle allegations that it bribed government officials in Argentina.**



## Whistleblower Channel

95% of companies never receive whistleblower complaints.



## Training

40% fail the training test



## Due diligence

30% do not perform due diligence on suppliers.

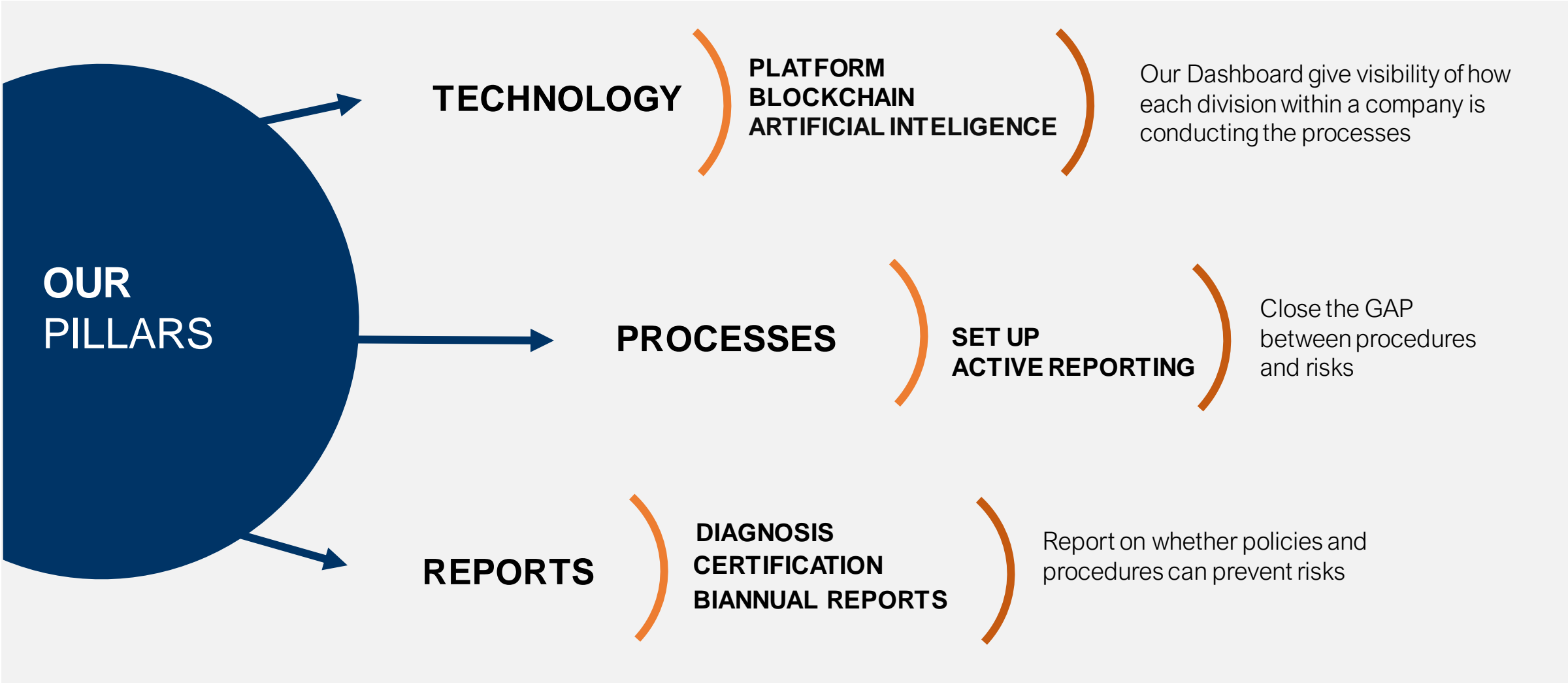


## Interaction policy with public officials

14% does not comply with it.



It only takes 1 employee to  
**damage a company's reputation  
and financial future.**





# OUR METHODOLOGY

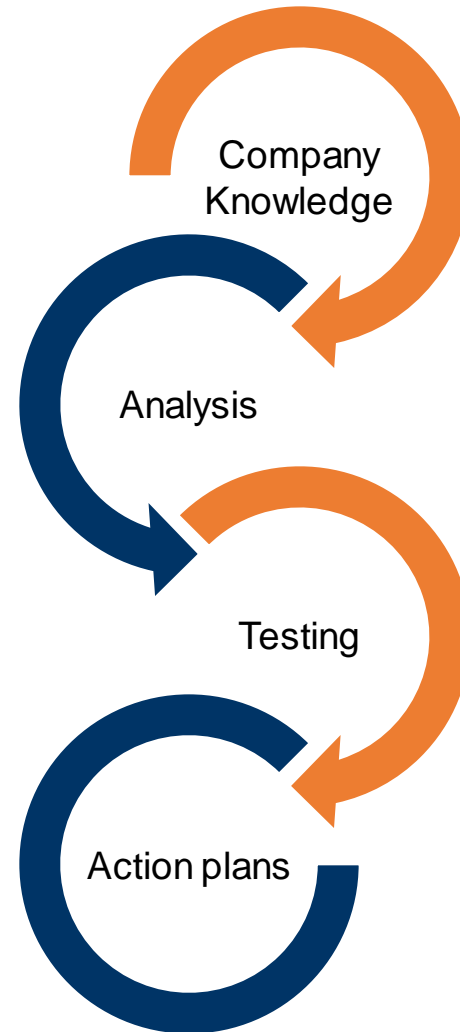
## SET UP

### TARGET

This stage seeks to assess the level of adequacy of the compliance program, evidencing the degree of compliance and risk mitigation, in order to close any gaps that may exist.

### PLATFORM

The platform created by BH Compliance allows continuous monitoring of all its subsidiaries from anywhere in the world, and gives visibility of how the company is carrying out its processes. We use artificial intelligence to process the data obtained.



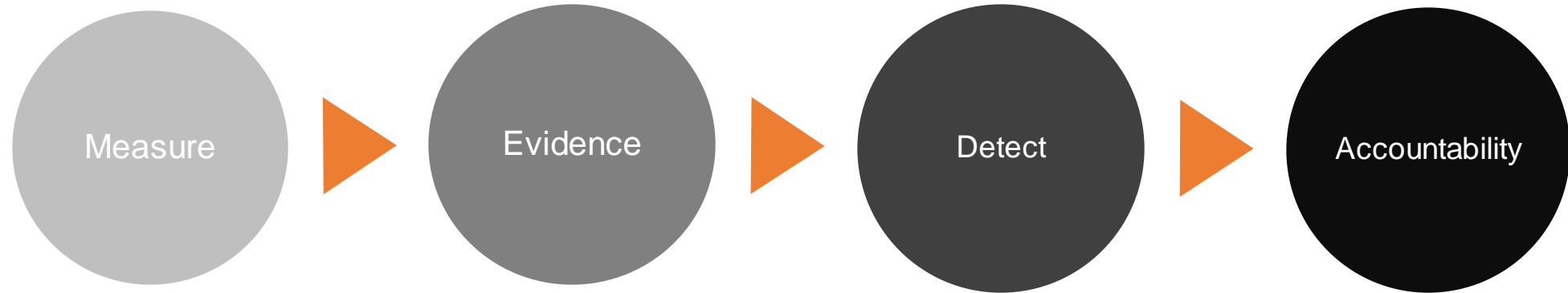
### DELIVERABLES

- **Diagnostic Report** indicating the level of adequacy of the implemented model. This report shows whether the policies and procedures prevent the risks that the company may face.
- **Certification** has all the observations, indicating the “critical” areas that need remediation and correction.

**Timeline: 3 - 6 months**

# OUR METHODOLOGY

## ACTIVE REPORTING



### TARGET

Our continuous monitoring service provides companies with a clear picture of the performance of their compliance program.

It seeks to generate evidence showing the company is doing everything possible to prevent corruption.

### DELIVERABLES

Reports documenting all the evidence gathered during the previous six months.

The report provides a summary of all the “risk” tests completed in the six-month period and any changes in policies or controls executed during that period.

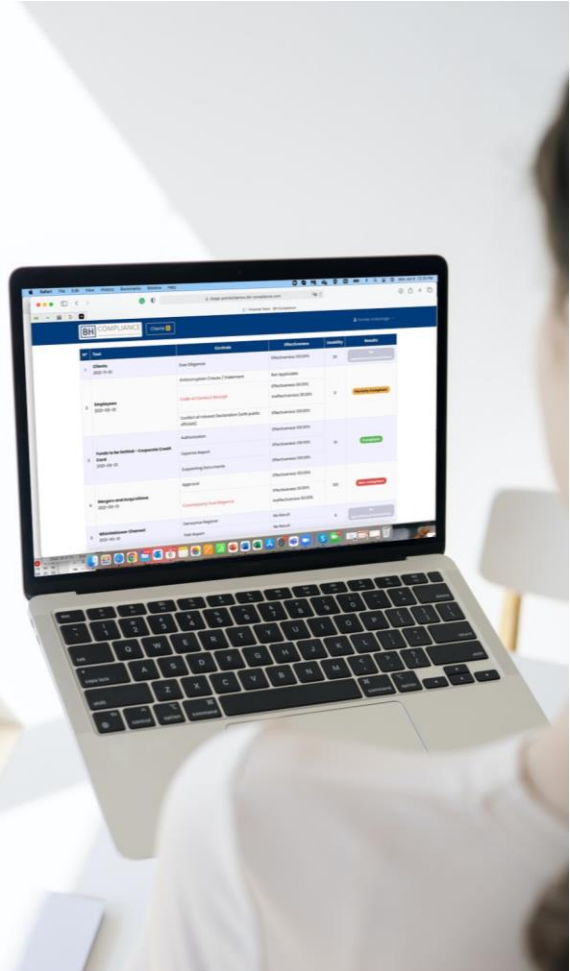
### BLOCKCHAIN

The BH Compliance platform seals all the information generated with Blockchain tech to ensure the integrity of the data in the event of a compliance-related investigation.

Timeline:  
Monthly with reports every six months

# OUR METHODOLOGY

## OUR PLATFORM





# BENEFITS

Your eight comprehensive benefits



**Assurance:** Blockchain provides integrity to the evidence of your compliance program implementation.



**Prevent:** Identify process that are not been complied with and alert the leadership in order to remedy the situation right away.



**Culture of integrity:** Through continuous measurement, generate awareness of the role of compliance



**Simple:** User-friendly platform for the entire organization to understand

# BENEFITS

Your eight comprehensive benefits



**Savings on staff costs:** Our platform performs the equivalent of a team composed of 4 people.



**Time savings:** Our technology allows the early detection of breaches, which in turn supports the efforts of the Compliance Officer.



**Savings in fines:** In the event of a criminal investigation, evidence protected with Blockchain tech will provide a strong **defense for the company**, potentially saving it billions of dollars in fines and legal defense.



**BH Compliance Badge:** We provide our clients with BH Compliance badge, to demonstrate all their stakeholders their commitment with integrity.

# BH COMPLIANCE

Protecting Business Integrity Worldwide

+11

**Years of Experience**  
in compliance and  
auditing services.

+150

Business Groups have  
**Trusted** BH Compliance  
with their monitoring



**BH Platform** resulted from  
real case experiences



**Expertise in local markets**



Offices and Operations  
in 2 countries:  
**United States and Chile**



**Methodology**

# OUR COMPANY

Clients

## LATAM Clients (+150 Business Groups & their subsidiaries)



USA



Europe



Chile

# OUR COMPANY

Awards and recognitions



We are a recognized leader in compliance in **LATAM**



**BH Compliance CEO Susana Sierra** is a Young Global Leaders with the World Economic Forum



We have been recognized with numerous awards:

- Winner of the APEC Best 2016 Award: Company with the greatest international appeal
- Susana Sierra: Winner of the Woman in Compliance Awards 2018
- Women Making a Difference Award 2018, International Women's Forum (IWF)



**BH Compliance** participates in international seminars throughout the year.





# OUR VISION OF COMPLIANCE

Is to focus on how things  
are done, not just getting  
them done.

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# IT WORKS: BH COMPLIANCE JOURNEY

Visualizing the HOW to achieve results



**REMEMBER:** Effective adoption, implementation and monitoring of controls and compliance programs allows timely identification of risky practices in companies

## Before BH Compliance steps in, Compliance is not always fully integrated:

- Associated with bureaucracy
- Goals are not suited to the How but the What
- Stands isolated from the other departments

## BH Compliance:

- SET UP: 30% of policies do not prevent risks.
- Initial Monitoring: 30% of policies are not being complied with.
- Ongoing monitoring: 25% of practices change over time without updating policies.

# IT WORKS: BH COMPLIANCE JOURNEY

Visualizing the HOW to achieve results



- **With BH Compliance:**

- Compliance Officer's FOCUS
- Board can set incentives on HOW
- Creating culture through measurement
- Prevent a crime from escalating if it is occurring

- **If a criminal investigation is launched:**

- We have the evidence to show the company did everything in its power to prevent risky practices
- The validity of evidence protected with Blockchain tech is accepted everywhere in the world



**COMPLIANCE**

YOUR ASSURANCE AGAINST CORRUPTION

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